

Nanostructures and symmetries, nano-objects

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Nanostructures?

Great variety of nanostructures

Nanometer dimension along 1, 2 or 3 directions

From molecular systems to "large" system (hundreds of nanometers)







Nanostructures?

(My research = metallic nanoparticles)



Major importance of the surface

Properties can differ from the bulk ones

Restriction to bottom-up elaboration

Top-down elaboration (nano-fabrication)

Essentially like the bulk material, but systems with chosen shape and organization (symmetries)





2.7 nm diameter cluster (586 atoms):45% of the atoms on the surface





Symmetries?

Several levels of symmetry for nano-objects

- Atomic structure, inside the objects (and chemical arrangement)
- ✓ Magnetic order
- ✓ Shape of the objects
- Organization of the objects (assembly)















Why does symmetry matter?

To control the properties

Strain induced enhancement of oxygen reduction for Pt₃Ni particles



Artificial spin ice, with a kagome lattice



E. Mengotti et al., Nature Physics 7, 68 (2011)

The physical properties reflect the system symmetries

We can expect specific features at nanoscale (for instance new phases...)

For applications in various domains:

- Optics
- Electronics and magnetism
- Catalysis

Model systems, to study interactions and collective behaviors...

Playground for physicists Influence of the morphology on the absorption (plasmon) of gold particles



L. M. Liz-Marzan, SPIE Newsroom (doi:10.1117/2.1200707.0798)



Outline

Some specificities of nanoparticles, related to symmetry

- ✓ Finite size and phase diagrams
- ✓ Shape of nanoparticles
 - Faceting and non-crystalline structures (with 5-fold symmetries)
- ✓ Bi-metallic particles, chemical arrangement
 - ➡ Example of CoPt and FePt particles
- ✓ Non-ideal symmetries, relaxation
- ✓ Magnetic order: one short example
- ✓ Self-organization of nanoparticles

A lot of electron microscopy images...









(001)

(111)



Finite size and thermodynamics

Continuous description (not atomic)



Surface energy term

$$\implies \mu = \mu_{sphere} = \mu_{\infty} + \mu_{\Sigma} = \mu_{\infty} + \frac{2\gamma_m}{r}$$

Variation of the internal pressure with the size Strain, modification of inter-atomic distances



FIG. 26. Comparison of theoretical and experimental melting points of supported tin clusters: \bullet , experiment; solid line, the fitting by means of Eq. (42). From Lai *et al.*, 1996.

F. Baletto et al., Rev. Mod. Phys. 77, 371 (2005)

Decrease of melting temperature at small sizes

Influence of the size on phase transitions (solid-liquid or solid-solid)

Phase diagram modification

The stable phase, and hence the symmetry, will depend on the size!



Finite size and thermodynamics

Ex.: For a given pressure, the stable phase vary with the nanoparticle size

Modification of the transition pressure due to finite size





S. H. Tolbert et al., Science 265, 373 (1994)



Wurtzite (hexagonal)

Difference of surface energy => pressure shift of the transition



Figure 1. Effect of the surface energies on the phase stability. The pressure of the phase transition in bulk material is given by the cross over between the Gibbs energy function for each phase. In the case of nanoparticles, this Gibbs energy must be corrected by a surface-related term ($\gamma_i S$) for each phase *i* (lp for low-pressure and hp for high-pressure phases, respectively). Usually, the surface tension is higher in the high-pressure phase than in the low-pressure one. This leads to a predicted shift of the transition pressure to higher value.

D. Machon et al., Nano Lett. 14, 269 (2014)



Atomic description of the surface

Crystal = periodic arrangement of the atoms

Translation symmetry, only if the crystal is infinite

Finite size breaks the translation symmetry!

How can we cut a crystal?

Creating a surface has an energy cost...





Finite size and crystal truncation

For a continuous description (as a liquid), the spherical shape minimizes the surface energy





Minimizing the energy (with a fixed volume) corresponds to: h_i proportional to γ_i .

Construction using a polar plot of the surface energy $\gamma(\theta)$ The equilibrium shape is given by the convex envelope



Equilibrium shape for a fcc crystal

The surface ratio between (100) and (111) facets depends on $\gamma_{111}/\gamma_{100}$

(111) surface is more compact➡ Lowest surface tension



With only (111) facets: octahedron, where the surface/volume ratio is too large (too far from a spherical shape)

A compromise has to be found (Wulff construction)





Octahedron

Truncated-octahedron



(Non-favorable)



Truncated-octahedron cuboctahedron



Beyond the Wulff model

Wulff construction gives the equilibrium shape

Thermodynamic equilibrium not granted

The morphology of a crystal can influenced by kinetic factors









clean (100) hydroxylated (111) hydroxylated (100)

Fig. 4 Wulff equilibrium shapes of MgO crystallites as a function of the temperature and pressure. Light grey: clean MgO(100) facets, medium grey: hydroxylated MgO(111) facets, dark grey: hydroxylated MgO(100) facets. Only the three low-index surfaces were taken into account in the Wulff construction.

P. Geysermans et al., Phys. Chem. Chem. Phys. **11**, 2228 (2009)

A control is also possible through the nanoparticles environment

For a given material, a variety of shapes can in fact be obtained

W. Niu et al., Nano Today 6, 265 (2011)



Beyond the Wulff model

With chemical syntheses, there are a lot of possibilities to control the nanoparticle symmetry



The Wulff construction is somehow limited

- \checkmark The surface energies must be known (they can vary with the size...)
 - ✓ There is also a contribution from the edges: $E_{\text{Tot}} = E_{\text{Bulk}} + E_{\text{Surf}} + E_{\text{Edge}}$
 - ✓ The bulk crystal structure is assumed (while we can have relaxations or non-crystalline structures)



The nanoparticle morphology reflects the crystal symmetry **but...**



For other numbers of atoms, there will be additional facets or incomplete facets



The symmetry is then lowered

Ex.: Major influence of additional facets on the magnetic anisotropy of Co clusters





 $K_{ani} = 0 \text{ kJ/m}^3 \text{ for a}$ regular cluster (Oh)

M. Jamet et al., Phys. Rev. B **69**, 024401 (2004)



Non-crystalline structures

Multiply-twinned particles

- Particles are constructed by joining tetrahedra of fcc crystals, forming twins
- The idea is to have only (111) facets, which is favorable for the surface energy



Symmetry plane

- A **decahedron** (pentagonal dipyramid) or an **icosahedron** can be formed
 - 5-fold symmetry







When joining the crystal pieces, there remains a small gap



Deformation to "close" the structure: they are non-crystalline

Low surface energy, but large strain energy



Observation of Icosahedra

Identification of icosahedral particles with HRTEM

Au particles



K. Koga et al., Surf. Sci. 529, 23 (2003)

S. Tehuacanero et al., Acta metall. mater. **40**, 1663 (1992)



Observation of decahedra

Decahedra are also easily observed with HRTEM



J. L. Elechiguerra et al., J. Mater. Chem. **16**, 3906 (2006)



S. Tehuacanero et al., Acta metall. mater. **40**, 1663 (1992)

Decahedral symmetry, but with (100) facets

Less flattened shape

Additional faceting to reduce the energy



Marks decahedron



L. D. Marks, Phil. Mag. A 49, 81 (1984)

From a fcc crystal to a 5-fold symmetry structure

Specificity of nano-sizes

(5-fold symmetry is incompatible with translation symmetry)



K. Koga et al., Surf. Sci. 529, 23 (2003)



Icosahedra and decahedra: strain

R. M. Wang et al., Phys. Rev. Lett. 100, 017205 (2008)

Strain determination, from HRTEM images



M. J. Walsh et al., Nano Lett. 12, 2027 (2012)

This strain can have important repercussion on the properties...



D. Pohl et al., Nano Lett. 14, 1776 (2014)



Coexistence of structures

Various geometries can be observed for small particles

Coexistence of structures with different symmetries





The most favorable structure depends on the size

Compromise between surface and strain energy

Ih: low surface energy, large strain Oh: no strain but larger surface energy Dh: intermediate case

Z. W. Wang et al., Phys. Rev. Lett. **108**, 245502 (2012)

Au clusters



Most favorable structures

F. Baletto et al., Rev. Mod. Phys. 77, 371 (2005)



Transition with size:

From icosahedra to truncated octahedra (fcc crystals)

FIG. 20. Structural phase diagram in the N,T plane for Lennard-Jones clusters in the harmonic superposition approximation. From Doye and Calvo, 2002.

Au clusters



Coexistence of different structures and evolution under electron beam or with the environment...

Z. W. Wang et al., Phys. Rev. Lett. **108**, 245502 (2012) D. Pohl et al., Appl. Phys. Lett. **101**, 263105 (2012) K. Koga et al., Surf. Sci. 529, 23 (2003)





FIG. 3. Au nanoparticle morphology distribution as a function of the oxygen content in the sputtering gas. The solid lines are guide to the eyes.



Large decahedral particles

Decahedral particles incompatible with a crystalline order









A. Mayoral et al., Nanoscale 2, 335 (2010)

Mechanisms of stress relief

➡ Enable 5-fold symmetry at larger particle sizes

Also, importance of kinetics of growth...



A. Mayoral et al., Nanoscale **2**, 335 (2010)





Magic numbers

Higher relative stability for structures with complete facets
 Visible in mass abundance spectra: "Magic numbers"



F. Baletto et al., Rev. Mod. Phys. 77, 371 (2005)

The magic numbers sequence gives indications about the symmetry (decahedra, icosahedra, truncatedoctahedral)

Ex. with $(C_{60})_N$ clusters, where N = 13, 55, 147 correspond to perfect icosahedra

This is only valid for non-directional bonding (VdW, metallic)



Bi-metallic nanoparticles

R. Ferrando et al., Chem. Rev. 108, 845 (2008)

Two types of atoms: additional degree of freedom

- → Tailoring of the properties
- Symmetry of the lattice + chemical occupation

Specific features for nanoalloys (different structures)

Ex.: Detection of L1₀ chemical order in FeAu alloy nanoparticles, while Fe and Au are immiscible in the bulk...



A. Hillion, PhD thesis



A variety of chemical arrangements are possible, with exotic chemical orders

D. Bochicchio et al., Phys. Chem. Chem. Phys. 16, 26478 (2014)



Fig. 3 Decahedral structure with highly symmetric chemical ordering found for composition $Ag_{341}Pd_{93}$. Symbols as in Fig. 2.



The most favorable structure results from a compromise, and depends on chemical mixing energies, surface energies, strain energy...

Kinetics and environment also play a major role.



D. Bochicchio et al., Phys. Rev. B 87, 165435 (2013)

Ex.: CoAu nanoparticles, with an off-centered Co core and Au shell



Symmetry can be broken





Observations in agreement with theoretical predictions





The case of CoPt and FePt nanoparticles



- A1 phase
- Chemically disordered
- fcc cell



L1₀ phase

- Chemically ordered
- tetragonal cell (c/a < 1)

The L1₀ phase has a huge magnetic anisotropy constant (K_{eff}~ 5 MJ/m³) Interesting for magnetic storage applications

The L1₀ phase is stable at room temperature, but A1 is metastable Chemical ordering obtained by annealing



From cubic to tetragonal: 3 equivalent directions for the chemical order (variants)

Antiphase, c-phase or twin boundaries between different L1₀ domains

Observed in films and large particles Are they met in small particles?





Examples of planar defects in a L1₀ crystal



A. Alam et al., Phys. Rev. B 82, 024435 (2010)



CoPt/FePt: Structure and size reduction

- Chemical order phase transition shifted and smoothed
- Threshold size for L1₀ stability?





Icosahedron

Decahedron



Truncatedoctahedron

 As a function of particle size, competition between different geometries

Multiply-twinned particles



Core-shell icosahedron with depleted subsurface shell





G. Rossi *et al. Faraday Discuss.* **138**, 193 (2008)

Various theoretical predictions

 \rightarrow

L1₀ ordered decahedron should be favorable

M. Grüner et al., Phys. Rev. Lett. 100, 087203 (2008)



CoPt/FePt: nanoparticle geometries

- No chemical order before annealing (disordered alloy, A1 phase)
- Coexistence of different particle structures
- Consistent with theoretical calculations
 Small energy differences (twins)
- Structure identification is not trivial
 - No quantitative population analysis





F. Tournus et al., Phys. Rev. Lett. 110, 055501 (2013).



L1₀ ordered particles

✓ $L1_0$ contrast ([001] peak) after annealing, even for the smallest particles

✓ Particles with a single $L1_0$ domain





Through-focus HRTEM images of a chemically ordered fcc FePt particle.



Quantification of the chemical order parameter for a single nanoparticle (S ~ 1) N. Blanc *et al.*, Phys. Rev. B **83**, 092403 (2011)



But, chemical order is **not necessarily visible** (particle orientation, defocus)

✓ Challenging observations!
 ✓ Not a statistical method



Particles with several L1₀ domains



• The chemical order is preserved across (111) twins

STEM HAADF image of a CoPt particle



F. Tournus et al., Phys. Rev. Lett. 110, 055501 (2013).

• Twinned particles with two L1₀ domains





HRTEM image of a CoPt particle (atoms are colored according to their size)

• Coexistence of several L1₀ variants (with antiphase boundaries)



In a single crystal particle of 2 nm diameter!



Chemically ordered decahedra

✓ Multiply-twinned particles✓ Fivefold symmetry

Chemically ordered CoPt and FePt decahedral particles



- Five L1₀ domains with different c-axis orientations
- Will dramatically lower the magnetic anisotropy





Cs-corrected HRTEM image of a FePt particle, for different defocus values

Agreement with the theoretical predictions



Chemical identification of the atomic columns

No preferential occupation of one element for the facets or the central atoms No indication of segregation



STEM-HAADF image

Experimental HRTEM image

Multislice HRTEM

Simul.



Chemical order in icosahedral particles?

F. Tournus et al., Phys. Rev. Lett. 110, 055501 (2013).



Some other results: XRD

Dh



Ih



TOh

icosahedral mode Decahedral mode for model 10 60 50 q (nm-1) PDF(a.u.) 0 2

R(Å)

P. Andreazza et al., unpublished

X-ray diffraction spectra are also sensitive to the particle symmetry and chemical order

Modelling is necessary

Difficult because coexistence of different geometries and particle sizes (measurement on particle assemblies)

In fact, chemical ordering is possible without changing the particle Dh symmetry





P. Andreazza et al., Phys. Rev. B 82, 155453 (2010)



Chemical order and relaxation



N. Blanc *et al.*, Phys. Rev. B **87**, 155412 (2013) V. Dupuis *et al.*, Eur. Phys. J. B **86**, 1 (2013)



EXAFS measurements: probe the local environment of one type of atoms

- Drastic change upon annealing
- Evolution of N_{Co}/N_{Pt}

 $\textbf{A1} \rightarrow \textbf{L1}_{\textbf{0}} \text{ transition}$

Apparent c/a ratio

Different around Co and Pt atoms: d_{Pt-Pt} ≠ d_{Co-Co}

DFT calculations: "L1₀ like" structure
 Strong relaxation of the Co-Co distances



Tetragonalization different from the bulk





Chemical order and relaxation

а



relaxation, non-regular shapes, defects...

Variability of the nano-objects in an assembly, with coexistence of different structures...

Dispersion of the properties (for instance, the magnetic anisotropy)



b

0.279 nm

D. Pohl et al., Nano Lett. 14, 1776 (2014)



The magnetic order can be influences by the size reduction

Example: FeRh nanoparticles

Chemically ordered particles (B2 phase), after annealing

The particles are ferromagnetic, down to 2 K, instead of anti-ferromagnetic

Chemically ordered FeRh particle





A. Hillion et al., Phys. Rev. Lett. **110**, 087207 (2013)



Chemically ordered FeRh particle

XMCD measurements at Fe and Rh edges

520



Motivations:

Supra-crystals, arrays of nanoparticles

Bottom up approach Self-organization

- Optimal packing (inter-particle coupling, resonance)
- Same environment for all particles
- Particle localization (for ex. for information storage)
- Mechanisms of self-organization
- (particle-particle and particle-substrate interactions)

Mostly from colloidal particles

Very nice results (not bare particles), with good order over large ranges



S. Pichler et al., ACS Nano 5, 1703 (2011)

Compact structures



B. Pietrobon et al., ACS Nano 3, 21 (2009)

Different stacking (hexagonal, cubic), mixed supra-crystals, exotic structures...



M. Nesselberger et al., Nat. Mater. 12, 919 (2013)

CdSe-CdS Core-shell super-nanoparticle



O. Chen et al., Nat. Commun. 5, 5093 (2014)

Particle agglomeration due to VdW interactions (+ electrostatic, chemical...)



Atomic deposition on template surfaces (UHV conditions)

- Good organization for pure clusters
 - Importance of the atom and particle/surface interaction

Preformed cluster deposition, an alternative approach

Not the same morphology as with atomic deposition



Co nanoparticles on Au(788) V. Repain *et al.* Euro. Phys. Lett. **58**, 730 (2002)

Ex.: size-selected FePt clusters deposited on graphite Local hexagonal symmetry (correlation length)

Key ingredients = same size, diffusion, attraction with short-range repulsion



L. Bardotti et al., Phys. Chem. Chem. Phys. 16, 26653 (2014)



Casari et al., Phys. Rev. B **84**, 155441 (2011)



Cluster deposition on graphene / lr(111)

Idea: use the **moiré lattice** of graphene epitaxially grown on Ir(111) to obtain **arrays of particles**

Lattice mismatch produces a moiré specific sites with a 2.5 nm periodicity







J. Coraux et al., Nano Letters 8, 565 (2008).



 ✓ Organized growth of dots with atomic deposition

N'Diaye et al., New J. Phys. 11, 103045

(2009); Phys. Rev. Lett. 97, 215501 (2006).

 Self-organization using deposition of preformed clusters?

➡ Deposition of clusters (D ~ 1.5 nm)

Collaboration with G. Renaud



NMGEM NanoMagnetisme sur Graphène Epitaxié sur Métaux ANR-2010-BLAN-1019-NMGEM



Clusters on graphene: GISAXS results

Information about the shape and distribution of particles





GISAXS and signature of symmetry

Probe for 2 different levels of symmetry

Size and shape of the particles + interference between particles (organization)



G. Renaud et al., Science 300, 1416 (2003)



G. Renaud et al., Surf. Sci. Rep. 64, 255 (2009)

Well suited for nanostructrures, complementary to local probe microscopy (STM/AFM)

PbSe dots/PbEuTe



- ✓ There are several levels of symmetry in a nano-system
 - Crystal phase, shape, magnetic order, organization...
- Phase diagrams are modified by finite size (symmetries can be different from the bulk)
- ✓ For nanoparticles, non-crystalline structures can be favorable (in particular with a 5-fold symmetry)
- The symmetry of a nanostructure is often (always?) imperfect (defects, relaxation, variability in an assembly...)
- Controlling the symmetry at different levels is a challenge
 Control of the particles structure, morphology, orientation and location
- ✓ Some techniques are well-suited for the study of symmetry



Acknowledgements

Groupe « Nanostructures magnétiques » :

- L. Bardotti
- V. Dupuis
- G. Khadra (Thèse)
- S. Linas (Post-Doc, now at LMI)
- A. Tamion
- F. Tournus
- J. Tuaillon-Combes
- N. Blanc (Thèse soutenue en 2009)
- A. Hillion (Thèse soutenue en 2012)

Ingénieurs et support technique :

- C. Albin
- O. Boisron
- G. Suteau

Collaborations :

- T. Epicier (MATEIS, INSA Lyon)
- K. Sato (IMR, Tohoku University, Japon)
- T. J. Konno (IMR, Tohoku University, Japon)



- G. M. Pastor (Universität Kassel) L. E. Díaz-Sánchez (Univ. Autónoma del Estado de México)
- A. Y. Ramos (Institut Néel, Grenoble)
 H. C. N. Tolentino (Institut Néel, Grenoble)
 M. De Santis (Institut Néel, Grenoble)
 O. Proux (Obs. Sci. de l'Univers, Grenoble)
- A. Rogalev (ESRF synchrotron)F. Wilhelm (ESRF synchrotron)P. Ohresser (SOLEIL synchrotron)
- G. Renaud (CEA Grenoble/ESRF) F. Jean (Institut Néel, Grenoble)



NMGEM NanoMagnetisme sur Graphène Epitaxié sur Métaux

ANR-2010-BLAN-1019-NMGEM